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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/692,792	10/27/2003	Osamu Kizaki	244412US2	1061

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OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C.  
1940 DUKE STREET  
ALEXANDRIA, VA 22314

EXAMINER
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ZHENG, JACKY X

ART UNIT	PAPER NUMBER
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2625

NOTIFICATION DATE	DELIVERY MODE
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08/29/2007

ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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## Office Action Summary

Application No.

10/692,792

Applicant(s)

KIZAKI ET AL.

Examiner

Jacky X. Zheng

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on October 27, 2003.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-27 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-27 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on October 27, 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948)   | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date <u>11/29/04 &amp; 1/27/04</u> . | 6) <input type="checkbox"/> Other: _____  |

### DETAILED ACTION

1. This is the initial office action based on the application filed on October 27, 2003.

#### *Priority*

2. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

#### *Information Disclosure Statement*

3. The information disclosure statement (IDS) submitted on January 27, 2004 and November 29, 2004 were filed after the mailing date of the application on October 27, 2003. The submission is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner.

#### *Claim Rejections - 35 USC § 112*

4. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

5. **Claims 10-23** are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

6. Claim 10 recites a limitation of "a conversion management part" for managing the hardware item. Such a limitation has not been *explicitly* depicted with sufficient descriptions

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from the original disclosure, nor in the language of instant claim for sufficient supports. This also affects the dependent claims 11-23.

7. Claim 11 recites a limitation of “device management information regarding the basic conversion part and ...”. Such a limitation has not been *explicitly* depicted with sufficient descriptions from the original disclosure, nor in the language of instant claim for sufficient supports.

8. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

9. **Claims 3, 9, 11-23 and 25-27** are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

10. Claims 3 and 26 recites the limitation of “in response to activation of the image forming apparatus”. Such a limitation has not been *explicitly* depicted with sufficient descriptions in the instant claim. It is unclear that the limitation of “activation” is referring to either: activation (or powering on) of the image forming apparatus, or activation (or initialization) of the conversion function recited in claim 1. This also affects the dependent claim 27. Further clarification is required.

11. Claim 9 recites the limitation of “hardware information”. Such a limitation has not been *explicitly* depicted with sufficient descriptions in the instant claim. The scope of such a limitation is unable to be determined. Further clarification is required.

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12. Claim 11 recites a limitation of “device management information regarding the basic conversion part and ...”. Such a limitation has not been *explicitly* depicted with sufficient descriptions in the language of instant claim, the scope of such a limitation is unable to be determined. Further clarification is required. This also affects the dependent claims 12-23.

13. Claim 14 recites a limitation of “a relation”. Such a limitation has not been *explicitly* depicted with sufficient descriptions in the instant claim. The scope of such a limitation is unable to be determined. Further clarification is required. This also affects the dependent claims 15-23.

14. The terms "gradual" or “gradually” in claims 18-21, 25 and 27 are relative terms, which render the claim indefinite. The terms "gradual" or “gradually” are not *explicitly defined* by the claim, the specification does not provide a *explicit* standard for ascertaining the requisite degree, and one of ordinary skill in the art would not be reasonably apprised of the scope of the invention.

### ***Claim Rejections - 35 USC § 102***

15. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

16. **Claims 1-17, 22-24 and 26** are rejected under 35 U.S.C. 102(b) as being anticipated by **Mitani (U.S. Patent No. 6,052,200)**.

**With regard to claim 1**, the claim is drawn to an image forming apparatus having at least one hardware item and at least one program for image formation, comprising: an image data

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conversion part having at least one conversion function to convert a format of image data (See Mitani, i.e. column 1, line 51 – column 2, line 7); a resource management part determining a memory size required for a conversion function to convert the format of the image data (i.e. column 1, lines 53-56;); and an image data management part acquiring a memory area corresponding to the determined memory size (i.e. column 1, lines 56-59; column 1, line 65 – column 2, line 7).

**With regard to claim 2**, the claim is drawn to the image forming apparatus as claimed in claim 1, wherein the image data management part, in response to receipt of a request to convert the format of the image data from an application operating in accordance with the at least one program, acquires the memory area (i.e. column 1, line 51- column 2, line 7; Fig. 15).

**With regard to claim 3**, the claim is drawn to the image forming apparatus as claimed in claim 1, wherein the image data management part, in response to activation of the image forming apparatus, acquires the memory area (i.e. Fig. 15, 15.0-15.4; column 1, lines 61-64).

**With regard to claim 4**, the claim is drawn to the image forming apparatus as claimed in claim 1, wherein the resource management part has convertible format information to indicate at least one format of image data that the at least one hardware item is able to convert corresponding to the memory size of the memory area acquired by the image data management part (i.e. column 2, lines 4-14).

**With regard to claim 5**, the claim is drawn to the image forming apparatus as claimed in claim 1, wherein the image data conversion part uses a hardware item to convert the format of the image data (i.e. column 5, lines 24-26).

**With regard to claim 6**, the claim is drawn to the image forming apparatus as claimed in claim 5, wherein the hardware item includes a basic conversion part by default, and further includes at least one optional conversion part to provide an additional conversion function (i.e. column 1, lines 3-4; and column 1, lines 9-10).

**With regard to claim 7**, the claim is drawn to the image forming apparatus as claimed in claim 6, wherein the additional conversion function of the at least one optional conversion part is for improving an image quality of the image data (i.e. column 19, lines 15-18).

**With regard to claim 8**, the claim is drawn to the image forming apparatus as claimed in claim 6, wherein the additional conversion function of the at least one optional conversion part is for converting a format of image data that the basic conversion part is not able to convert (i.e. column 1, lines 3-4; and column 1, lines 9-10).

**With regard to claim 9**, the claim is drawn to the image forming apparatus as claimed in claim 6, wherein the hardware item has hardware information regarding the basic conversion part and the at least one optional conversion part (i.e. column 5, lines 2-3).

**With regard to claim 10**, the claim is drawn to the image forming apparatus as claimed in claim 6, wherein the image data conversion part comprises a conversion management part managing the hardware item (i.e. column 5, lines 28-33).

**With regard to claim 11**, the claim is drawn to the image forming apparatus as claimed in claim 10, wherein the conversion management part has device management information regarding the basic conversion part and the at least one optional conversion part (i.e. column 5, lines 28-33; Fig. 15-16;).

**With regard to claim 12**, the claim is drawn to the image forming apparatus as claimed in claim 11, wherein the conversion management part reports the device management information to the resource management part (i.e. column 1, lines 55-64).

**With regard to claim 13**, the claim is drawn to the image forming apparatus as claimed in claim 12, wherein the resource management part has resource management information regarding the basic conversion part and the at least one optional conversion part, and the resource management information is obtained based on the reported device management information (i.e. column 1, line 65 – column 2, line 15).

**With regard to claim 14**, the claim is drawn to the image forming apparatus as claimed in claim 13, wherein the resource management part has target memory size information to indicate a relation between combinations of the basic conversion part and the at least one optional conversion part and memory sizes of memory areas required to convert a format of image data by the combinations (i.e. column 1, line 65 – column 2, line 15).

**With regard to claim 15**, the claim is drawn to the image forming apparatus as claimed in claim 14, wherein the resource management part has combination information to indicate a relation between formats of image data and combinations of the basic conversion part and the at least one optional conversion part necessary to convert the formats (i.e. column 1, line 65 – column 2, line 15).

**With regard to claim 16**, the claim is drawn to the image forming apparatus as claimed in claim 15, wherein the resource management part determines a target memory size based on the resource management information, the target memory size information, the combination information and a converted format (i.e. column 1, line 60 – column 2, line 15).



**With regard to claim 17**, the claim is drawn to the image forming apparatus as claimed in claim 16, wherein the resource management part, when the image data management part fails to acquire a memory area corresponding to the determined target memory size, determines a new target memory size based on the target memory size information (i.e. column 12, lines 8-16).

**With regard to claim 22**, the claim is drawn to the image forming apparatus as claimed in claim 16, wherein the resource management part determines the target memory size such that said target memory size is greater than or equal to a memory size obtained based on the resource management information and the target memory size information (i.e. column 16, lines 13-15; Fig. 18A-18C).

**With regard to claim 23**, the claim is drawn to the image forming apparatus as claimed in claim 16, wherein the resource management part, when the image data management part fails to acquire a memory area required for the hardware item, determines the target memory size as a memory size required for a software item of the image data conversion part to convert the format of the image data (i.e. column 12, lines 8-16; column 1, lines 60-64;).

**With regard to claim 24**, the claim is drawn to a method of acquiring a memory area for an image forming apparatus having at least one hardware item for image formation, at least one application operating in accordance with at least one program for image formation, and an image data conversion part having at least one conversion function to convert a format of image data, the method comprising: a size determination step of determining, in response to receipt of a request to convert a format of image data from an application of the image forming apparatus, a target memory size required to convert the format of the image data based on a conversion

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function of the image data conversion part corresponding to the image data and the converted format (i.e. column 1, lines 60-64); a memory area acquisition step of acquiring a memory area corresponding to the determined target memory size (i.e. column 1, 57-60); and a memory area release step of releasing the acquired memory area after the format of the image data is converted (i.e. column 12, lines 19-28).

**With regard to claim 26**, the claim is drawn to a method of acquiring a memory area for an image forming apparatus having at least one hardware item for image formation, at least one program for image formation, and an image data conversion part having at least one conversion function to convert a format of image data, the method comprising: a size determination step of determining, in response to activation of the image forming apparatus, a target memory size required for a conversion function of the image data conversion part; and a memory area acquisition step of acquiring a memory area corresponding to the determined target memory size and the conversion function (i.e. column 1, lines 53-64).

### ***Claim Rejections - 35 USC § 103***

17. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

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18. **Claims 18-21, 25 and 27** are rejected under 35 U.S.C. 103(a) as being unpatentable over **Mitani** as applied to claims 1-17, 24 and 26 above, and further in view of **Shimizu (U.S. Patent No. 6,052,202)**.

**With regard to claims 18, 19 and 25**, **Mitani** does not *explicitly* disclose the limitations of obtaining a new target memory through gradual size decrease.

However, **Shimizu** discloses an invention relates to an information processing apparatus such as a printer capable of designating the capacity of the memory to be used, a memory control method, and further disclose the limitation of “a decrease in the band memory size” to reduce the frequency of memory degrading (See **Shimizu**, i.e. column 12, lines 39-42).

**With regard to claims 20, 21 and 27**, **Mitani** does not *explicitly* disclose the limitations of obtaining a new target memory through gradual size increase.

However, **Shimizu** further discloses the limitations of increase the “system work memory” (i.e. column 10, lines 5-16; Fig. 6). Also, **Shimizu** discloses “an increase in band memory size” to reduce the probability of time degrading.

Therefore it would have been obvious to one of ordinary skill in the art at the time of invention to have modified **Mitani** to include the limitations of obtaining a new target memory through gradual size decrease and increase taught by **Shimizu**. It would have been obvious to one of ordinary skill in the art at the time of invention to have modified **Mitani** by the teachings of **Shimizu** to include the limitations of obtaining a new target memory through gradual size decrease and increase taught by **Shimizu**, for purposes of reducing the frequency of memory

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degrading by decreasing in size of memory, and reducing the probability of time degrading by increasing in size of memory (See Shimizu, i.e. column 12, lines 34-42).

### ***Conclusion***

19. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

- A. Shindoh et al. (U.S. Pub. No. 2004/0233466 A1, RICOH), disclose an electronic device for transfer of image data.
- B. Shindoh et al. (U.S. Pub. No. 2004/0190037 A1, RICOH), disclose an image processing apparatus, including; inputting, storage, outputting and conversion parts.
- C. Kizaki et al. (U.S. Pub. No. 2005/0157322, RICOH), disclose an apparatus for transforming image format of image data.
- D. Shimizu et al. (U.S. Patent No. 5,987,230) disclose an information processing apparatus has a derivation which derives a usable memory size, and a controller which determines a default value of the memory to be used according to the derived size.
- E. Kimura et al. (U.S. Patent No. 5,978,561) disclose an image forming apparatus for controlling a supply of divided image data to print means.
- F. Morikawa et al. (U.S. Patent No. 6,876,466) disclose an image processing system includes a memory for storing image data of a plurality of pages (i.e. claim 1).
- G. Suzuki et al. (U.S. Patent No. 6,463,445) disclose a multimedia information retrieval system and method, particularly "the format conversion process" automatically

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determines the computer memory size required to perform the transcoding processing, thereby saving the computer memory resources.

H. Sawano (U.S. Patent No. 7,019,854) discloses a control means for controlling memory means and an output section is provided in a printing system.

I. Kumada (U.S. Patent No. 5,495,560) discloses an output apparatus which temporarily stores pattern data for output.

J. Taoda (U.S. Patent No. 6,480,295) discloses an invention relates to a print control method in which code data such as PDL is converted into image data and printing is carried out.

K. Minamizawa (U.S. Patent No. 6,208,434) discloses an invention permits copying of multiple documents when only a small amount of memory is available.

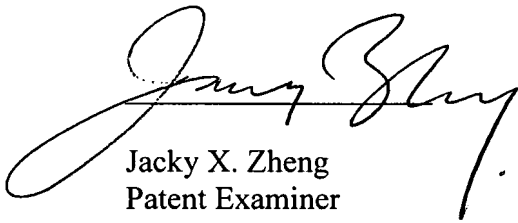
L. Yamashita et al. (U.S. Pub. No. 2002/0019914 A1) disclose a signal processor comprises a plurality of processing with a plurality of kinds of processors and a shared memory accessed through a versatile control means.

20. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jacky X. Zheng whose telephone number is (571) 270-1122. The examiner can *normally* be reached on Monday-Friday, 7:30 a.m.-5p.m., Alt. Friday Off.

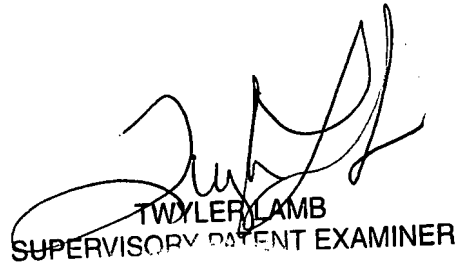
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Twyler M. Lamb can be reached on (571) 272-7406. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



Jacky X. Zheng  
Patent Examiner  
Art Unit: 2625  
August 21, 2007



TWYLER LAMB  
SUPERVISORY PATENT EXAMINER